

An Acoustic Study on the Citation Tones in Malaysian Mandarin

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ABSTRACT

In the Global Chinese (GC) framework, Malaysia plays a crucial role in the spread and legacy of Mandarin. Although Malaysian Mandarin (MalM) has been extensively studied, its phonetic aspects have been neglected. Thus, this paper examines the four citation tones in MalM, in addition to the “checked quality”—termed the “fifth tone” (‘T5’) of MalM—by using Chinese dialectology supported by smartphone-based recordings. The findings suggest that, in MalM, the four tones contrast in tone height, tone value, and tone duration, with the main realizations being the high-level, low-level-rising, mid-falling, and high-falling contours, respectively. Other than the main tonal realizations, a number of variants are depicted. Furthermore, while MalM and *Putonghua* tones have evolved rather similarly, the checked quality—which is absent in *Putonghua* but was part of Middle Chinese, the shared ancestor of *Putonghua* and MalM—remains in MalM. However, there is a lack of distinctive phonemic contrast between Tone 4 and ‘T5,’ and there is insufficient evidence to consider ‘T5’ as a new tone category in this study. Unlike *Putonghua*, MalM is undergoing tonal reduction, like Singaporean and Taiwanese Mandarin. These findings indicate that, although Malaysian Mandarin (MalM) is undergoing substantial variations compared to *Putonghua*, it shares similarities with other Mandarin varieties, as there is some overlap in their tonal features. This study makes an empirical contribution to the body of research on tonal variations in the GC framework and echoes GC’s call for a pluricentric approach to Mandarin varieties.

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INTRODUCTION

Today, Mandarin is spoken by a regional and global community and is, therefore, a language with global ownership.

Accordingly, the Global Chinese (GC) concept has proposed challenging the traditional monolingual ideology, indicating a conceptual shift and a pluricentric approach to investigating Mandarin varieties. The increased worldwide usage of Mandarin has also led to innovations in its use, as it is employed by people from different language backgrounds and assumes diverse functions and forms in distinct settings. It is no longer relevant to associate Mandarin exclusively with *Putonghua*, the standard Chinese used in mainland China. Thus, GC advocates for a new research trend that uses *Putonghua* as a reference point rather than as a target for Mandarin varieties spoken outside of mainland China. The concept of GC has been influential in raising awareness about the diversity of Mandarin varieties and has driven scholarly attempts to study them.

Given the establishment, persistence, and spread of the Chinese language and culture in Malaysia, this Southeast Asian country is a crucial component within the broader notion of GC (Diao, 2018; D. M. Xu & Wang, 2009). As a multiracial society, Malaysia has Malay, Chinese, and Indian populations, as well as other ethnic groups. Malay is the official language, and English serves as the second language. Mandarin and Tamil are widely spoken in the Chinese and Indian communities, respectively. Aside from Mandarin, prominent Chinese dialects, such as Hokkien, Cantonese, and Hakka, are also used in Malaysia's Chinese community. As a multiethnic and multilingual country, Malaysia is a rich site for studying linguistic variation and change; its linguistic diversity

has aroused interest in Malaysian Mandarin (MalM; Guo, 2022; Y. Li, 2010, 2016; X. M. Wang, 2020). These studies mainly focus on the lexical and syntactic particularities of MalM, but acoustic analysis of the phonetic characteristics, particularly tonal characteristics, has been largely neglected.

In Mandarin, a syllable in isolation carries a citation tone and contrasts word meanings. *Putonghua* has four citation tones: Tone 1 (T1) through Tone 4 (T4). The four citation tones are represented in Chao's (1968) five-scale system with five distinctive levels, with 1 indicating the pitch floor and five denoting the pitch ceiling. Following Chao's system, the four citation tones in *Putonghua* contrast as in [55, 35, 214, 51]. When a citation tone is isolated, the tone contour is fairly stable and well-defined. However, when produced in context, the tone contour undergoes certain variations depending on the adjacent tones (Y. Xu, 1997). This phonological process is referred to as tone sandhi. T3 sandhi and half T3 sandhi are probably the best-known phonological processes in *Putonghua* (Duanmu, 2007). For example, T3 becomes T2 if another T3 follows it, and it becomes half T3 when it precedes T1, T2, or T4. These processes generate two allophonic variants of T3, regarded as the sandhi tone.

Thus far, existing research has sparked debate on the tonal properties of citation tones and the number of tone categories in MalM and Singaporean Mandarin (SigM)—either four categories (Tone 1, Tone 2, Tone 3, Tone 4) or five categories (the former tones and the “fifth tone”). T. Huang (2016a,

2016b) and Ng and Chiew (2012) identified the short-falling tone, the ‘fifth tone’ (T5), as a new tone category. In contrast, C. Y. Chen (1983) and Lee (2010) emphasized that ‘T5’ is not always distinguishable from T4 and preferred not to classify it as a contrastive tone category. Although previous studies have considered checked tones in the Middle Chinese tone system as a factor in the emergence of ‘T5,’ there is a lack of systematic analysis. Hence, it is necessary to examine the citation tones of MalM from a diachronic lens using Chinese dialectology methods. Our adoption of the term “fifth tone” in this paper does not indicate our full agreement with this concept; as it is commonly used, we have used it in this paper, but we have done so hesitantly.

Against these backdrops, this study aims to acoustically investigate tonal variations in MalM and compare them to those of neighboring varieties in the GC framework. The following questions guided our study:

1. How are the citation tones realized in MalM?
2. Can the “fifth tone” be considered a new tone category?

Literature Review

A Diachronic Lens: The Evolution of the Citation Tone System in Mandarin

Modern Chinese is believed to have developed from Middle Chinese (MC; circa 200–900 AD) in Chinese dialectology. Before exploring the tone system in MC, understanding the structure of the Chinese

syllable is necessary. As M. Y. Chen (2000) illustrated, the Chinese syllable is divided into an optional initial consonant and a final. The initial consonant is the onset; the final includes an optional medial (onglide) consonant and a rhyme. The rhyme consists of a nucleus and an optional coda, the latter of which can either be an offglide or a consonantal ending.

According to *Qieyun* (AD 601)—the Chinese rhyme dictionary of early MC—MC has four tone categories: *ping*, the level tone (平); *shang*, the rising tone (上); *qu*, the falling tone (去); and *ru*, the entering or checked tone (入). Based on their initials, each of these tones is split into one of two registers—the *yin* (upper) register for voiceless initials (阴) or the *yang* (lower) register for voiced initials (阳)—thereby generating eight tones: Tone 1 (MCT1) through Tone 8 (MCT8). In terms of syllable structure, MC tones are divided into two categories—the smooth tone and the checked tone—based on the rhyme type. The smooth tone contains either an open syllable (CV) or a syllable ending with a nasal stop (CVN); the checked tone contains a syllable that is closed by a stop coda (CVP, -p, -t, -k, often reduced to a glottal stop -q; M. Y. Chen, 2000). While *ping*, *shang*, and *qu* are smooth tones, *ru* is a checked tone. Because of the difference in syllable structures, a checked tone is perceived as auditorily shorter than a smooth tone. These eight MC tones underwent various splits and mergers and developed into modern Chinese. Figure 1 displays the evolution of citation

tones in *Putonghua*, drawn up based on M. Y. Chen’s (2000) and Ma’s (2002) research. Two important diachronic changes have occurred in *Putonghua*: all voiced obstruents have become voiceless, and all checked syllables have lost their stop codas

(M. Y. Chen, 2000). The MC tone system provides a common frame of reference in investigating the Chinese language (M. Y. Chen, 2000) and in the study of GC (X. M. Wang, 2019).

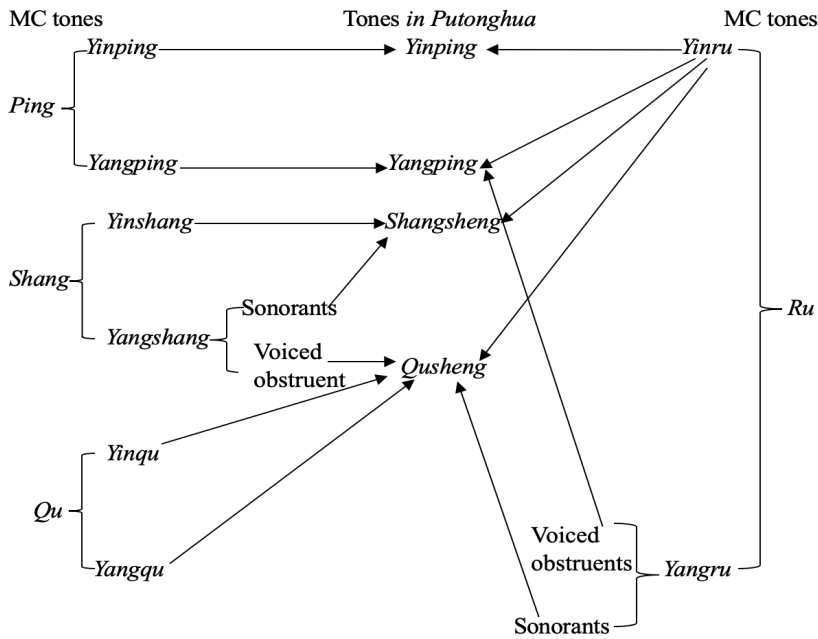


Figure 1. Correspondence between the tone systems in MC and *Putonghua*

Source: M. Y. Chen (2000); Ma (2002)

Previous Studies on the Citation Tones of Mandarin Varieties

The existing studies show that other Mandarin varieties have four citation tones, similar to *Putonghua* (T1, T2, T3, and T4), but with their own tonal properties. *Putonghua* and Taiwan Mandarin (TwM) generally have four-tone categories. In Taiwan Mandarin (TwM), scholars have not yet reached a consensus on whether T2 is dipping (Fon & Chiang, 1999; A. Li et al., 2006) or rising (Deng et al., 2006;

Fon et al., 2004; Kuo, 2018; Shi & Deng, 2006), and whether T3 is low-falling (Chiung, 1999; Fon et al., 2004; Kuo, 2018; A. Li et al., 2006; Shi & Deng, 2006) or dipping (Fon & Chiang, 1999). SigM has also drawn attention in recent years. While Chua’s (2003) tonal transcription of SigM largely aligns with that of C. Y. Chen (1983) researchers disagree on whether T3 is represented by [11] (C. Y. Chen, 1983) or [211] (Chua, 2003). Another strand of research has looked at the four citation

tones in MalM. Based on the impressionist approach, J. Y. Chen (2007) described the four tones as [33/44, 24/35, 21, 31/53]. Following the acoustic approach, T. Huang (2016b) investigated the citation tones of MalM in the Malaysian state of Penang, revealing that MalM has four citation tones: [33, 23, 21, 53]. Despite the inconsistent tone values of the citation tones reported in previous research, their tone contours are still similar: T1 is produced as a level tone, T2 as a rising tone, T3 as a low-falling tone, and T4 as a high-falling tone. Nevertheless, Khoo (2013, 2017) observed that T4 is frequently realized as a high-level tone apart from a high-falling tone. Regarding the acoustic approach, Yeoh (2019) analyzed the variations of T4 in connected speech and confirmed Khoo's (2013, 2017) findings. Based on the tonal properties of the four citation tones, researchers suggested that tonal reduction happens in TwM and MalM (K. Huang, 2017; T. Huang, 2016b).

Not only that, as mentioned in the introduction above, but there is also a question about the tone categories of Mandarin varieties, such as SigM and MalM. Apart from the four citation tones, researchers have proposed an additional tone, the "fifth tone" ('T5'), and sought to identify its tonal properties. The 'T5' terminology implies an independent status in the tone system in MalM and SigM and indicates that these Mandarin varieties may have developed a new tone system compared to *Putonghua*. Interestingly, however, 'T5' is not found in TwM owing to the heavy influence of northern dialects

and the intensive teaching and learning of national phonetic symbols (C. Y. Chen, 1983). According to previous studies, 'T5' may be derived from the checked tone in MC (C. Y. Chen, 1983; Choo, 2013). Studies further indicated that the occurrence of 'T5' is constrained by the final types in SigM (Choo, 2013; Goh & Xu, 2003; Ng & Chiew, 2012; X. Y. Xu & Goh, 2001). Similar results have been reported for MalM, which is unsurprising given Singapore's geographic and linguistic proximity to Malaysia. For instance, the "fifth tone" has been mentioned in MalM, and the features of 'T5' in MalM are similar to descriptions by C. Y. Chen (1983) regarding SigM (T. Huang, 2016a, 2016b).

On the other hand, controversy also exists regarding 'T5's' tone value (C. Y. Chen, 1981, 1983; J. Y. Chen, 2007; T. Huang, 2016a, 2016b). C. Y. Chen (1981, 1983) was among the first to report on 'T5'. Using the auditory approach, C. Y. Chen (1983) suggested that, in SigM, 'T5' is a short falling tone that sometimes ends with a glottal stop, but the glottal stop varies in degree of prominence, and 'T5' is sometimes identical to T4. It was either realized as [51] or [53] or varying between [41] and [42] in SigM. In MalM, while J. Y. Chen (2007) suggested that the tone value of 'T5' is either [53] or [42], T. Huang (2016b) indicated that T5 is uttered as [53]. T. Huang (2016b) also indicated that 'T5' is accompanied by a glottal stop or a large number of creaks with a systematic acoustic study. Despite burgeoning research on tonal properties and governing rules on 'T5,' whether 'T5' is a

contrastive tone, an allotone, or a variant tone remains a conundrum. Additionally, the reason some non-checked syllables can surface similarly to ‘T5’ in both SigM and MalM remains in dispute (Choo, 2013; T. Huang, 2016a, 2016b; Ng & Chiew, 2012). In detail, while Huang (2016a) suggested that this phenomenon is probably due to overgeneralization, C. Y. Chen (1981) found that the non-checked syllable, “钢” (iron), a high-level tone in *Putonghua*, was realized as the high-falling tone in SigM. C. Y. Chen (1981) further attributed this to the influence of *Qusheng* in the Teochew dialect. It is further confirmed by Choo (2013). Hence, to date, the tonal properties of MalM are still unclear, and the tone categorization of ‘T5’ is unsettled.

Past studies on the citation tones in MalM shed light on the citation tones in tonal combinations or connected speech (see T. Huang 2016b; Yeoh, 2019). Such methods may result in tonal variations owing to the adjacent tones or connected speech. Besides, prior studies mainly focused on the production of the old generation (T. Huang, 2016b). Accordingly, by monosyllabic reading from a diachronic lens, the present study reports on the number of tone categories and their tonal properties by involving young MalM speakers in the GC framework.

METHODS

Participants

We recruited participants based on a self-reported questionnaire that collected demographic data and information about

language use in different contexts (e.g., at home, at school, and in dealings with the government). Participants consented to be recorded for research purposes. None of them reported any speech impairments. As Chinese Malaysians constitute the primary group contributing to MalM, and as undergraduates represent the young generation who have grown up in a predominantly multilingual context and were exposed to standardization of the Chinese language in Malaysia, known as *Kurikulum Bersepadu Sekolah Rendah*, we recruited 36 Chinese Malaysians aged 20–25 years (with an average age of 22) who had completed at least six years of Chinese-language education and were fluent in Mandarin. All speakers were female to maintain consistency regarding the gender variable. It was done to obviate the influence of specific speaker effects (Jacobi, 2009). It is also attributed to the pitch differences between male and female voices, and it was considered better to avoid analyzing male and female recordings together (Pillai et al., 2010).

As southern Chinese dialects play a crucial role in MalM variations (X. M. Wang, 2019), we selected these participants because they all considered at least a southern Chinese dialect to be their dominant home language. They occasionally used Malay and English besides Mandarin and southern Chinese dialects. Although the participants were from different dialect groups, including Hokkien, Cantonese and Hakka, we will consider them a cohesive group because these three dialects all

belong to southern Chinese dialects, and they preserve the checked tone in their phonology. Additionally, as shown in

Figure 2, they came from different states in Malaysia.

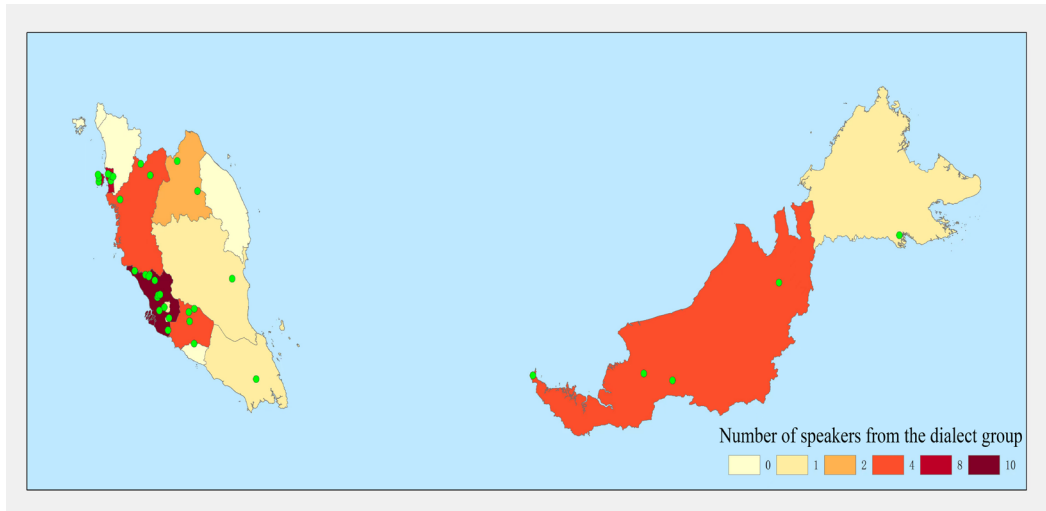


Figure 2. Malaysian map of the participants from their dialect group, mapped according to the locations of the participants' homes

Source: Authors' work

Reading Material

The reading material was designed using the methodological framework of Chinese dialectology, in which the standard method used to investigate the phonological system entails the use of *Fangyan Diaocha Zhibiao* (the *Questionnaire of Characters for a Dialect Survey*, hereafter *Zhibiao*) (Institute of Linguistics, CASS, 2018) to elicit data. *Zhibiao* covers more than 3,700 monosyllabic words arranged based on MC phonology, where the development of a certain dialect with reference to MC can be captured. Based on *Zhibiao*, the reading material was selected and compiled to represent MC tone categories, specifically MCT1 through MCT8. While syllables in MCT1 to MCT6

were smooth syllables, those in MCT7 and MCT8 were checked syllables. A total of 72 monosyllabic syllables (eight tone categories x nine monosyllabic syllables) are listed in the Appendix. We used the following criteria to select monosyllabic syllables: (1) *All monosyllabic syllables are real words and are frequently used in MalM* (Nonsense and uncommon syllables were excluded), (2) *Further division of the initial consonant was considered, including both voiceless and voiced initials* (The development of MC tones is sensitive to the initial consonants), (3) *Simplify segmentation* (Syllables with zero-onsets were avoided), and (4) *The finals of monosyllabic syllables in the wordlist included all the following*

final types: monophthongal, diphthongal, triphthongal, and nasal finals (in Mandarin, diphthongal and triphthongal finals are termed compound finals).

Recording Procedures

Smartphone recordings have been implemented in many acoustic sociophonetic studies, and valuable results have been reported (Kim et al., 2019; Kuo, 2018; Leemann et al., 2016; B. Li et al., 2020; Stanford, 2019). As Stanford (2019) suggested, most smartphones today offer better fidelity and audio range than outdated devices; many sociolinguistic datasets gathered in the field have varying quality in their recordings, and some sociolinguistic studies even compare modern recordings with legacy ones. Guan and Li (2021) and C. Zhang et al. (2021) suggested that smartphones are reliable alternative recorders for general purposes, at least in prosodic aspects. Therefore, smartphone technology was utilized to gather data for the present study. This approach was also chosen for its efficiency in collecting recordings, which could increase the data size and expand the geographic coverage. Additionally, data collection was conducted in 2020, when the COVID-19 pandemic curtailed face-to-face recordings.

As the recordings were made remotely, we prepared an instruction manual for the participants to ensure good sound quality. The participants made the recordings in a quiet room in their homes, which yielded a natural and familiar speaking environment. The stimuli were fully randomized and

presented in Google Slides, and a different order was applied for each participant to control the intensity and avoid priming effects (Alzaidi et al., 2019; Clark, 2018). Two monosyllabic syllables were added as fillers to the beginning and end of the Google Slides to avoid order effects (Zhu, 2018). The participants were asked to read the word list once and then take a short break before re-recording the same list. They were instructed to read naturally at a normal speaking rate and upload the recordings to Google Drive.

Data Analysis

Filtering and downsampling were implemented to remove other information in the spectrum and avoid environmental sounds in the recordings. Segmentation, labeling, and annotation were carried out in Praat (Boersma & Weenink, 2021).

Many precautions were taken to ensure good, valid data for analysis, such as providing a clear instruction manual for the participants and manually checking the sample quality. Three participants' recordings were excluded because of the background noise. Therefore, data from 36 participants were analyzed, and the total number of tokens was 5,184 (72 monosyllabic syllables x 2 repetitions x 36 participants = 5,184 monosyllabic syllables). These tokens were also visually checked, and 3.9% (200 tokens) with noise or non-modal voice were removed to avoid inaccurate pitch tracking. In the end, 4,984 tokens were examined in the subsequent analysis.

Bao and Lin (2018) noted that tones in Mandarin are carried by the stable part of the final, which is the vowel segment in this study. The onset and offset of the vowel's second formant (F2) were used to identify and determine the boundaries of each vowel segment (Zheng, 2010). Fundamental frequency (F0) is the basic acoustic parameter of perceived pitch, which functions as a dimension in suprasegmental linguistic systems of tone, intonation, and stress (Lehiste, 1970). Apart from F0, the linguistic pitch is also mediated by the interaction of F0 with other major acoustic parameters, such as duration (Rose, 1989). Thus, F0 and duration were the main acoustic correlates and were measured to define the tonal features in this study. With the help of ProsodyPro (Y. Xu, 2013), a script running under Praat, we hand-inspected vocal pulse marking for errors and corrected them, then extracted acoustic parameters. We extracted F0 from 10 equidistant points and excluded 10% of the leftmost and rightmost F0 values to reduce tone-irrelevant variations.

T-value is superior because of its higher normalization index (Liu, 2007), its accordance with Chao's five-scale system (Liu, 2007; J. W. Zhang, 2014), and its wide usage in the Chinese literature on tones (J. W. Zhang, 2014). Thus, we transformed the raw F0 data into T-value using the formula outlined below (Liu, 2007; Shi & Wang, 2006) to eliminate inter-speaker variation. T-value is divided into five intervals to align with Chao's five-scale system. However, as actual production and perception do not always follow this scale system, Liu (2007)

proposed a dynamic strategy where the five intervals are not strictly divided into 0–1, 1–2, 2–3, 3–4, and 4–5, and the T-value has a dynamic range. The five intervals are, therefore, 0–1.1, 0.9–2.1, 1.9–3.1, 2.9–4.1, and 3.9–5.

$$T - value = 5 \times \frac{(\log x - \log b)}{(\log a - \log b)} \quad [1]$$

where x = observed F0 value, b = lowest F0 value, and a = highest F0 value.

We also extracted duration in milliseconds (ms). Corresponding to the exclusion criteria of F0 points, we only measured 80% of the extracted duration of each syllable. Furthermore, to minimize the individual factors, we performed duration normalization using the following normalization formula (Liu, 2007):

$$\begin{aligned} & \text{Normalized duration (Dur)} \\ &= \frac{\text{measured duration}}{\text{average measured duration of a speaker}} \end{aligned} \quad [2]$$

After normalization, we combined qualitative (auditory perception and acoustic identification) and quantitative (statistical analysis) methods to answer the research questions. In the qualitative descriptions, the acoustic realization of the syllables is presented in tone height and tone contour with reference to the spectrogram, auditory perception, and the *T-value*. While tone height is categorized into high, mid, and low based on the five-scale system (Chao, 1967), tone contour is grouped into level, level-rising, dipping, and falling. In quantitative statistics, first, to check how the citation

tones in MalM deviate from each other, we performed an extensively applied technique by deciding on the tone contour and tone height; more specifically, the F0 slope and the average F0 (T-value; L. Wang et al., 2018). Accordingly, we obtained the mean F0 by averaging eight points. Considering the definition by L. Wang et al. (2018)—the “coefficient of the linear regression line for 21 points of each tone, with the corresponding real timestamp as the independent variable” (p. 7)—we calculated the F0 slope based on the eight points. Second, we used SPSS (IBM Corp., 2021) to check whether the data were normally distributed and complied with homogeneity

of variance. As both assumptions were violated, we used non-parametric tests. After the Bonferroni correction, we set the alpha level to 0.05 for the Kruskal-Wallis test and to 0.008 for the Mann-Whitney test. Given the large sample size of this study, effect size estimates were also reported. As Table 1 displays, following Cohen’s (1988) guidance, we set three cut-off points for the non-parametric tests. While the large effect size indicates that tones are distinct in terms of F0 slope, average F0, or tonal duration, the small effect size suggests that tones tend to overlap in these parameters. The moderate effect size falls in between.

Table 1
Effect sizes used in this research

Effect size	Kruskal–Wallis tests by η^2	Mann–Whitney tests by r
Large	0.14 and above	0.5 and above
Moderate	0.06–0.139	0.3–0.49
Small	0.01–0.59	0.1–0.29

Source: based on Cohen (1988); Yamaguchi and Chiew (2019)

RESULTS

Tonal Realizations of the Citation Tones in MalM

Table 2 displays different realizations of the four tones with different frequencies. The main realization of T1 is a high-level tone, followed by high-falling and low-level-rising contours. T2 has more varied realizations, with the level-rising tone being the primary one. Furthermore, the tonal realizations of T2 include high-level, high-falling, mid-falling, and dipping tones. Regarding T3, the main realization has a mid-falling contour; 11.1% ($n=106$)

and 8.9% ($n=85$) of the occurrences have dipping and low-level-rising contours, respectively, and 4% ($n=38$) have a high-level contour. Compared with other citation tones, T4 seems to have less variation. The chief production of T4 has a high-falling tone, comprising 98.3% ($n=1801$) of the total. Only 0.3% ($n=6$) of the tokens were uttered with a high-level contour, and 1.4% ($n=26$) of the T4 tokens were produced with a high-level-rising contour.

Hence, the main realizations for T1 through T4 were a high-level tone, a low-level-rising tone, a mid-falling tone, and a

high-falling tone. Moreover, the four tones showed different variants of the tone contour. However, while the other variants of T1, T2, and T4 were marginalized, T3 frequently displayed two variants: level-rising and

dipping (besides the main realization). Furthermore, 1.6% ($n=15$) and 0.7% ($n=9$) of the T1 and T2 tokens, respectively, were realized as a high-falling tone; hence, they may be ‘T5’ tokens, as discussed previously.

Table 2
Distributions of tonal realizations of citation tones

Tone contour	Citation tones			
	Tone 1	Tone 2	Tone 3	Tone 4
High-level-rising	/	/	/	1.4 (26)
Low-level-rising	0.2 (2)	96.8 (1231)	8.9 (85)	/
Mid-falling	/	0.2 (3)	76 (724)	/
High-falling	1.6 (15)	0.7 (9)	/	98.3 (1801)
High-level	98.2 (909)	/	/	0.3 (6)
Mid-level	/	2.1 (27)	4.0 (38)	/
Mid-dipping	/	0.2 (2)	11.1 (106)	/
Total	100 (926)	100 (1272)	100 (953)	100 (1833)

Note. The value preceding the parentheses represents the occurrence percentage, while the numeral enclosed within the parenthesis denotes the count of the tokens

Source: Authors’ work

The tone contour and value of the four tones are shown in panels (a)–(d) in Figure 3. The normalized tone contours in each panel are plotted against eight equidistant points. According to Figure 3, the tone values of T1–T4’s main realizations are [44], [223], [31], and [52], respectively. Additionally, as mentioned, T3 contains two frequently occurring variants—level-rising and dipping contours—which could be expressed as [223] and [313]. Furthermore, the high-falling variants in T1 and T2 could be denoted as [52], which aligns with the tone value of the primary production of T4.

Informed by descriptive statistics as discussed above, the realizations of citation tones in MalM appear quite variable.

However, it remains unknown how the four tones differ from each other. Following L. Wang et al. (2018), the average F0 was plotted on the overall F0 slope to obtain the variability of each tone, as depicted in Figure 4.

Figure 4 portrays a scatterplot where each plotted symbol represents a token, with the horizontal axis marking the F0 slope and the vertical axis denoting the F0 height. As seen in Figure 4, while realizations of T1 and T4 are higher in F0 height, as they were mostly produced as high tones, the realizations of T2 and T3 are somewhat lower, as they were primarily uttered as middle or low tones. We ran a Kruskal-Wallis test to compare F0 height and

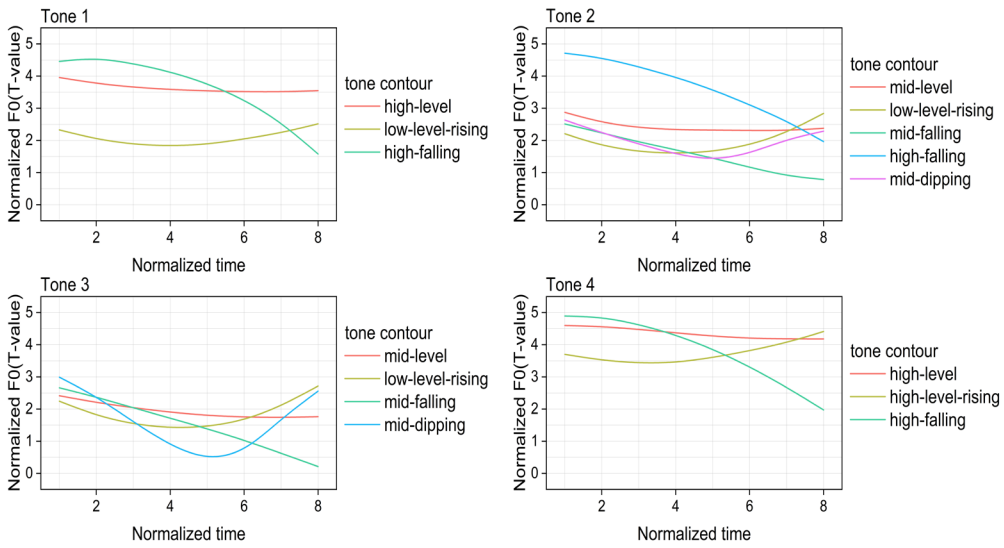


Figure 3. The tone contours of the citation tones in MalM
Source: Authors' work

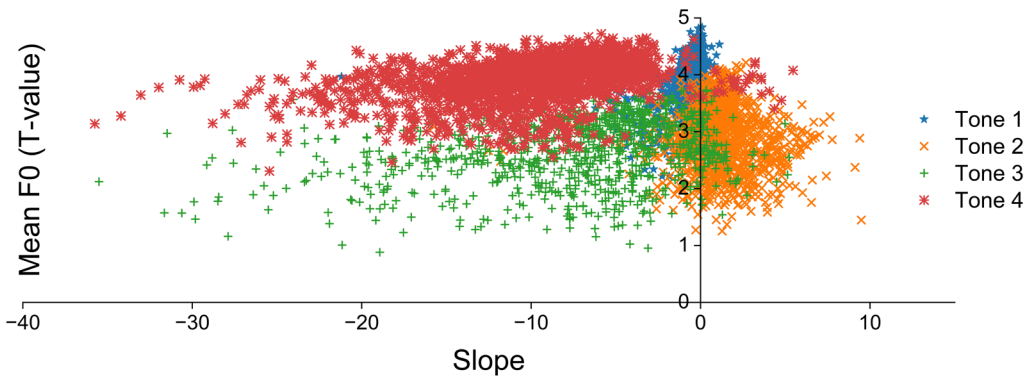


Figure 4. Scatterplot of the citation tones in MalM
Source: Authors' work

identified a significant effect of tone category on F0 height ($\chi^2(3) = 2829.90, p < 0.001, \eta^2 = 0.57$ [large effect]). Accordingly, F0 height of the tones decreased significantly across the four tones: $T4 > T1 > T2 > T3$. As Table 3 outlines, the Mann–Whitney test results suggest that F0 height significantly differs in all dimensions. However, the effect sizes reach a large effect between each pair except

between T1 and T4 and T2 and T3. The large effect size indicates that differences in F0 height are distinct in all tonal pairs except between T1 and T4 and between T2 and T3. While T1 and T4 belong to a high register, T2 and T3 belong to a fairly low register.

In Figure 4, we can see differences in F0 slope. T1 is mostly located around the vertical axis owing to its fairly flat slopes.

Table 3

Mann–Whitney test results for F0 height and effect sizes. P-values smaller than 0.008 are in bold.

Tone	Z	P-value	Effect size
T1 versus T2	Z = -31.05	p < 0.001	r = 0.66 [large effect]
T1 versus T3	Z = -33.69	p < 0.001	r = 0.78 [large effect]
T1 versus T4	Z = -5.18	p < 0.001	r = 0.01 [small effect]
T2 versus T3	Z = -11.25	p < 0.001	r = 0.24 [small effect]
T2 versus T4	Z = -40.00	p < 0.001	r = 0.72 [large effect]
T3 versus T4	Z = -40.62	p < 0.001	r = 0.77 [large effect]

Source: Authors' work

As the main realization of T2 is rising, it is primarily located to the right of the vertical axis. T3 and T4, mostly in a falling contour, are located to the left of the vertical axis. Likewise, a Kruskal-Wallis test identified a significant effect of tone category on the F0 slope ($\chi^2(3) = 3368.52, p < 0.001, \eta^2 = 0.68$ [large effect]). The F0 slope of tones declined significantly across the four tones: T2 > T1 > T3 > T4. As Table 4 displays, the Mann-Whitney test revealed significant differences in the F0 slope between each

pair of tones. Nevertheless, the results of effect sizes confirm that differences in F0 slope are distinct between each pair except between T3 and T4. The small effect size in the T3 and T4 pair demonstrates that T3 and T4 are similar in tone contour. In the high register, T1 and T4 differ significantly regarding contour: T4 mostly has a falling target, and T1 chiefly has a level target. In the low register, T2 has a predominantly rising target, and T3 mostly has a falling target.

Table 4

Mann–Whitney test results for F0 slope and effect sizes. P-values smaller than 0.008 are bold.

Tone	Z	P-value	Effect size
T1 versus T2	Z = -29.22	p < 0.001	r = 0.62 [large effect]
T1 versus T3	Z = -26.75	p < 0.001	r = 0.62 [large effect]
T1 versus T4	Z = -40.42	p < 0.001	r = 0.77 [large effect]
T2 versus T3	Z = -35.71	p < 0.001	r = 0.76 [large effect]
T2 versus T4	Z = -45.91	p < 0.001	r = 0.82 [large effect]
T3 versus T4	Z = -15.13	p < 0.001	r = 0.29 [small effect]

Source: Authors' work

Figure 5 presents the total duration of the four tones in MalM. The tone duration decreased across the four tones: T1 > T2 > T3 > T4. A Kruskal-Wallis test was run,

and a significant effect of tone category was identified on the tonal duration ($\chi^2(3) = 2005.77, p < 0.001, \eta^2 = 0.43$ [large effect]). It indicates that T1 has the longest duration,

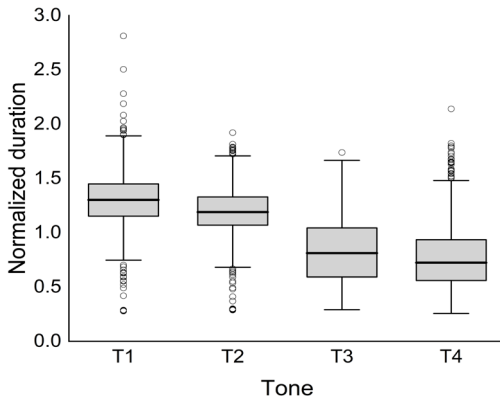


Figure 5. Boxplot for the normalized duration of the citation tones

Source: Authors' work

followed by T2, T3, and T4. Additionally, as Table 5 shows, the Mann–Whitney test revealed significant differences in the tonal duration between each pair of tones. Despite statistical significance, a small effect size was reached in the T1 and T2 and T3 and T4 pairs. The small effect size suggests that the tonal duration differences are not prominent in these two pairs.

Acoustic Realizations of ‘T5’

As suggested in prior literature, one of the salient MalM features is ‘T5’. Despite its common usage and our conformity

with terminology, some controversy may surround ‘T5’'s source and acoustic productions. Therefore, we have attempted to shed light on this important topic.

Given the debate on ‘T5’, the first step involved defining ‘T5’ and establishing inclusion criteria. First, we only included tokens as ‘T5’ if they were derived from the checked tone in MC. Although some non-checked syllables were realized similarly to ‘T5,’ the governing rules of their occurrences differed, as discussed in the literature review. Accordingly, one token of 时 “time” was excluded from the analysis. It is also because this individual instance may be due to chance. Second, we only examined and compared the ‘T5’ tokens derived from T1, T2, and T3 in Mandarin, as previous research suggests that T4 and ‘T5’ cannot be consistently distinguished. Accordingly, of all 1,249 checked tone tokens, 23 tokens from nine participants were transcribed as ‘T5’. To identify its acoustic properties, we compared ‘T5’ with T4 in terms of tone contour, tone value, duration, and voice quality.

As presented in Figure 6, while ‘T5’ was produced as a falling pitch that started

Table 5

Mann–Whitney test results for the tonal duration. P-values smaller than 0.008 are bold.

Tone	Z	P-value	Effect size
T1 versus T2	$Z = -10.50$	$p < \mathbf{0.001}$	$r = 0.22$ [small effect]
T1 versus T3	$Z = -27.59$	$p < \mathbf{0.001}$	$r = 0.64$ [large effect]
T1 versus T4	$Z = -33.40$	$p < \mathbf{0.001}$	$r = 0.64$ [large effect]
T2 versus T3	$Z = -26.44$	$p < \mathbf{0.001}$	$r = 0.56$ [large effect]
T2 versus T4	$Z = -34.53$	$p < \mathbf{0.001}$	$r = 0.62$ [large effect]
T3 versus T4	$Z = -5.18$	$p < \mathbf{0.001}$	$r = 0.10$ [small effect]

Source: Authors' work

slightly lower and fell slightly earlier than T4, they are similar in tone contour and tone value. Both ‘T5’ and T4 can be denoted as [52], making it challenging to consistently distinguish them based on tone contour and tone value. Additionally, as displayed in Figure 7, the normalized duration of ‘T5’ is comparatively shorter than that of T4. Despite differences in duration, this minor difference may be difficult for listeners to perceive. Furthermore, Figure 8 shows the productions of voice quality of ‘T5’ and T4. Figure (8a), ‘T5’, the first case on the left, ends with a glottal stop (denoted by an arrow). Apart from this, ‘T5’ in this study was primarily realized with creaks (indicated by an arrow), as in the middle of Figure (8a). Like the case on the right, ‘T5’ was sometimes produced in normal voicing. As displayed in Figure (8b), T4 on the left was realized in creaks (shown by an arrow), and on the right, it was produced in normal voicing. In most cases, T4 was accompanied by creaks. In *Putonghua*, creaks often occur in the middle of T3 and at

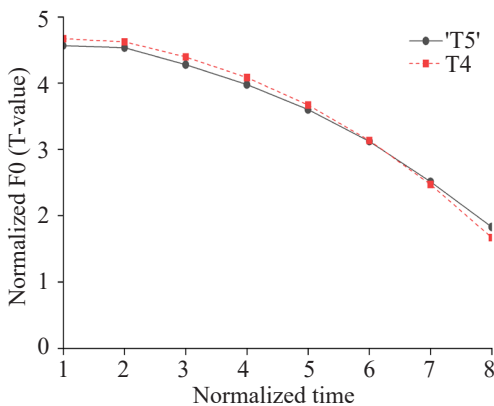


Figure 6. The tone contours of ‘T5’ and T4
Source: Authors’ work

the end of T4, as creaks are a tonal feature of ‘lowest-ness.’¹ in Mandarin (Kuang, 2017). Therefore, when comparing ‘T5’ and T4 in terms of voice quality, they are both largely identical and consistently indistinguishable acoustically.

As noted above, earlier work on ‘T5’ also considered the role of finals, which indicates that the source of ‘T5’ is also related to finals (Choo, 2013; Ng & Chiew, 2012). Therefore, we investigated the final types of ‘T5’. While 91.3% ($n=21$) of ‘T5’ consisted of monophthongal finals, only 8.7% ($n=2$) comprised diphthongal finals. This finding is consistent with the results of Choo (2013). Additionally, C. Y. Chen (1983) and T. Huang (2016a) demonstrated that ‘T5’ emerged mostly from T1 in both SigM and MalM, followed by T2 and T3. We revisited this issue and found that 65.2% ($n=15$) of ‘T5’ emerged from T1, 34.8%

1 Creaks mostly occur in the lowest T3 and can be seen in the low-pitch target of T4.

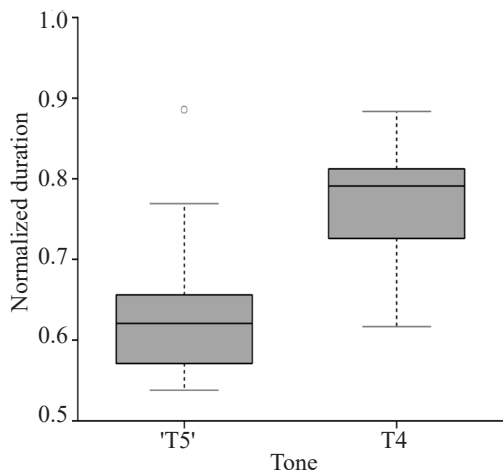


Figure 7. Boxplot of the normalized durations of ‘T5’ and T4
Source: Authors’ work

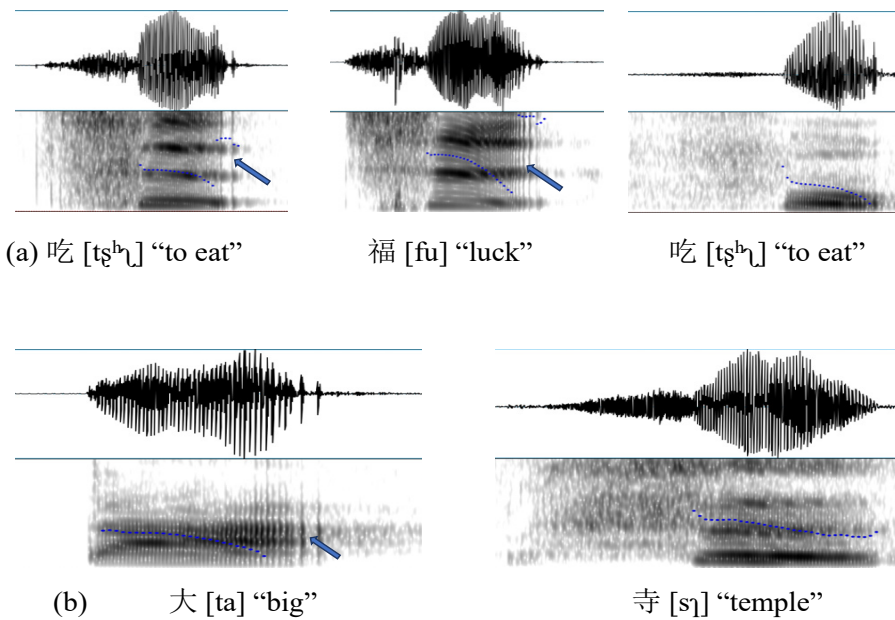


Figure 8. Examples of the spectrograms of ‘T5’ (a) and T4 (b)
 Source: Author’s work

($n=8$) of ‘T5’ emerged from T2, and none of ‘T5’ emerged from T3. Accordingly, our results align with those of C. Y. Chen (1983) and T. Huang (2016a). More tokens of Mandarin T1 and T2 were subject to a change to ‘T5’.

DISCUSSION

Our first concern is understanding the tonal properties of the citation tones in MalM. We found that the tone contour of the four citation tones varied within and across speakers. The acoustic and quantitative analyses suggest that the four tones in MalM contrast with each other in terms of F0 height, F0 slope, and tone duration and that the main tonal realizations are phonetic, as in [44, 223, 31, 52], and opposed to [55, 35, 214, 51] in *Putonghua*. Among the

four citation tones in MalM, T3 is the most difficult to characterize. Unlike the other three tones, the central realization of T3 was inconsistent across speakers. In this study, two speakers differed from the rest of the participants, as T3 was predominantly produced as a dipping contour by these two speakers. Furthermore, this study is the first to report that a dipping tone contour is still the basic contour in MalM. It is expected because Mandarin teaching in Malaysia adheres to *Putonghua* standards, and the dipping T3 is merely observed in deliberate, emphatic, or yes-no questions (Fon & Hsu, 2007). Aside from a dipping tone, T3 was also produced as mid-falling and low-level-rising contours, with a mid-falling contour as the main acoustic realization in MalM. As demonstrated earlier, in *Putonghua*, sandhi

T3 contains the falling and rising contours. Thus, the T3 sandhi rule might explain why T3 has these two variants in MalM. It also closely reflects Sanders's (2008) description of the tone contour of T3 in TwM: T3 occurs more frequently as a falling contour in natural speech than as the citation allotone. Based on the findings above, the falling contour may become the T3 basic contour in TwM as the new generation mainly perceives a falling contour for T3 (Sanders, 2008).

K. Huang (2017) suggested that "another way to explain...tonal variations is to treat them as a kind of sound change" (p. 301). Compared to *Putonghua*, T2 and T3 are considered contour reductions in MalM, as they are produced as level-rising and falling contours. In contrast, they are expressed as rising and dipping contours in *Putonghua*. Pittayaporn (2007) indicated that a dynamic tone is more prone to evolving into a static one than moving in the opposite direction. Therefore, this variation implies that the F0 excursion of the contour tones tends to decrease, resulting in flattened contours in MalM. Correspondingly, T. Huang (2016b) observed contour leveling in T2 in MalM both acoustic and perceptually, suggesting that T2 may eventually be neutralized to a level tone. Likewise, T2 and T3 were sometimes produced as a level contour, which may predict the directionality of tonal change in MalM. Tonal reduction is not confined to MalM; it is also commonly found in SigM and TwM (K. Huang, 2017; Lee, 2010). However, our data and analysis are insufficient to draw a firm conclusion

about this prevalence, but it would be worth examining in future research.

Additionally, based on the findings, we can answer the second research question. First, with reference to sources of 'T5', findings from previous studies and the current study cannot provide a conclusive answer, as final types and tone types may also play an active role. Second, besides sources, 'T5' is largely identical to T4 in tonal properties, indicating the lack of distinctive phonemic contrast between these two tones. Despite the significant difference in duration between them, this small quality of difference may not be perceived by listeners. Therefore, this may cause difficulty in distinguishing 'T5' syllables from T4 syllables. Third, 'T5' varies across individuals and may not occur consistently in a single person's pronunciation. For instance, as a salient feature of 'T5', the glottal stop is unstable and thus an optional element. Accordingly, this evidence seems to support the argument that 'T5' is not systematic. Hence, based on the current study's findings, there is inadequate evidence to claim that 'T5' is a new tone category in MalM. Instead, 'T5' is a variant tone. In line with this view, C. Y. Chen (1983) stated that "it is sometimes referred to as 'the short-falling pitch' in preference to 'the fifth tone' as the latter seems to suggest more strongly a completely detached identity" (p. 97). It is also supported by Lee (2010), who stated that his study on SigM focused purely on the four tones given the declining use of 'T5' and the unreliable discrimination between

T4 and ‘T5’, even for speakers who exhibit this contrast. Our findings indicate a need for more research on ‘T5’, especially through a perceptual lens.

CONCLUSION

This study examined the tonal properties of the four citation tones and ‘T5’. Similar to previous research, four citation tones, T1 to T4, are observed in MalM, and the four tones contrast with each other. However, different variant tones occur in each of the four tones, among which T3 is the most difficult to characterize. Like the neighboring varieties of SigM and TwM, T2 and T3 are undergoing tonal reduction in MalM. Additionally, some similarities and differences in ‘T5’ exist between MalM and SigM. The tone contour, tone value, and voice quality of ‘T5’ in MalM differ from those in SigM. However, similar to SigM, our research confirmed that the occurrence of ‘T5’ in MalM is not only related to the MC-checked tone but also associated with final types and tone categories. More importantly, the acoustic realizations and findings of ‘T5’ answer our second question that ‘T5’ is insufficient to be deemed a new tone category in MalM.

This article has clear implications for the GC paradigm. On the one hand, this research pushes forward the empirical results on Mandarin varieties from the perspective of GC, increasing the empirical rigor of this theoretical paradigm; on the other hand, the focus, as well as the outcome, has, as a result, shifted from a conventional emphasis on accuracy in language usage to

a more positive exploration of the features of MalM in a multilingual environment. This echoes GC’s call for a conceptual shift and a pluricentric way to explore Mandarin varieties. In addition, the findings complement the previous research by focusing on the productions of the young generation and provide insights into the debates of Mandarin ‘T5’ categorization in prior studies from a diachronic perspective.

The findings of this study can inform Mandarin pedagogy in Malaysia to emphasize awareness of and exposure to the diversity of Mandarin varieties rather than the “correctness” of pronunciation. For example, the primary tone contour of T3 in MalM is mid-falling rather than mid-dipping. The mid-falling T3, however, does not comprise intelligibility, as the mid-dipping contour merely occurs in deliberate and emphatic speech. Hence, it is not necessary to produce a T3 dipping tone in the same way as a speaker of *Putonghua* would. Consequently, it is important to consider teaching Mandarin pronunciation in Malaysia more carefully rather than rigidly adhering to a native model.

There are several potential improvements for future studies, such as including more participants from different age groups and genders to enhance the generalizability and representation of the research results. While smartphone recording offers convenience, it falls short of preserving the original voice quality and may compromise the reliability of the acoustic analysis of segmental features. Therefore, Linear PCM, an uncompressed

audio recording format, is essential for accurate acoustic studies of voice quality. In addition, given the use of single-word lists as stimuli and the limited number of ‘T5’ tokens, results may not reflect the full picture of ‘T5’. Future studies could also ascertain the existence of ‘T5’ by using minimal pairs as stimuli and investigating the tonal phenomenon in polysyllabic words and connected speech.

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APPENDIX

Target words in the word list

MC tones	Mandarin word	Phonetic form	Glossary	MC tones	Mandarin word	Phonetic form	Glossary
MCT1	低	ti	low	MCT2	扶	fu	help
	天	t ^h ian	sky		时	ʃɿ	time
	猪	tʃu	pig		茶	tʃ ^h a	tea
	车	tʃ ^h ɿ	vehicle		逃	t ^h au	escape
	高	kau	tall		鞋	ɕie	shoes
	花	xua	flower		桥	tɕ ^h iau	bridge
	伤	ʃaŋ	injury		房	faŋ	house
	浇	teiau	water		田	t ^h ian	field
	灯	tɿŋ	lamp	陈	tʃ ^h ən	surname	
MCT3	比	pi	compare	MCT4	父	fu	father
	打	ta	hit		社	ʃɿ	bureau
	古	ku	ancient		米	mi	rice
	粉	fən	pink		件	tɕian	piece
	展	tʃan	exhibit		动	tuŋ	move
	展	tɿŋ	wait		满	man	full
	口	k ^h ou	mouth		老	lau	old
	火	xuo	fire		坐	tsuo	to sit
	水	ʃuei	water	罪	tsuei	crime	
MCT5	注	tʃu	infuse	MCT6	大	ta	big
	怕	p ^h a	afraid		树	ʃu	tree
	替	t ^h i	replace		寺	sɿ	temple
	帐	tʃaŋ	curtain		阵	tʃən	position
	唱	tʃ ^h aŋ	sing		病	piŋ	disease
	汉	xan	a dynasty of China		共	kuŋ	together
	盖	kai	cover		害	xai	harmful
	化	xua	change		夏	ɕia	summer
	跳	t ^h iau	jump	队	tuei	team	
MCT7	失	ʃɿ	lose	MCT8	罚	fa	punish
	吃	tʃ ^h ɿ	eat		十	ʃɿ	ten
	福	fu	blessing		笛	ti	whistle
	刻	k ^h ɿ	to carve		舌	ʃɿ	tongue
	笔	p ^h i	pen		俗	su	custom
	割	k ^h ɿ	cut		达	ta	arrive
	黑	xei	black		六	liou	six
	百	pai	hundred		白	pai	white
	铁	tie	iron	贼	tsei	thief	